UNCW's
Coordinated Compliance, Policy, and Ethics Activities

Annual Update
May 2024 – April 2025

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UNIVERSITY of
NORTH CAROLINA
WILMINGTON

BOARD of TRUSTEES

Overview

- **Compliance** – Refers to legal and operational obligations with a reporting or filing deadline but also addresses day-to-day legal standards.

- **Policy** – Refers to the ongoing review and revision of existing policies, as well as the creation of new policies to respond to emerging issues.

- **Ethics** – Refers to UNCW's workplace culture, professional standards and codes of conduct. Seeks to ensure: (i) reporting processes are available and made known, (ii) subject-specific policies contain appropriate ethical standards, and (iii) accountability exists.

Compliance

Completed Projects

❖ Assisted Student Affairs, UPD, and working group with review and updates to policies, practices, and documents related to Free Expression and Demonstrations.

❖ Updated policies on new website, as well as assisted with compliance questions on accessibility.

❖ Helped Business Affairs and Academic Affairs (Research) on policy updates.

Compliance (Continued)

Ongoing Activities

❖ Compliance Calendar and Policies Page
- Housed on the compliance website, a campus-wide compliance calendar lists filing and reporting deadlines as well as programmatic compliance owners.

❖ Busy and Dizzying Compliance Environment
- Federal actions and executive orders (Title VI, Title IX)
- 2026 Web Accessibility Guidance/Requirements from U.S. DOJ
- House Settlement and NIL
- Various UNC System policies

Compliance (Continued)

Ongoing Activities (Continued)

❖ Ongoing Policy Review/Advising
- D.C. Virgo
- Facility Use

❖ Committee Service
- Serve on various university committees & formal workgroups.

Policy

Completed Reviews and Revisions

Policies New/Revised April 2024-March 2025

- 01.250 Data Governance and Management Policy

- 02.340 Freedom of Expression by Non-University Individuals or Groups

- 02.360 Policy on Displays

- 02.370 Policy on Demonstrations

- 03.100 Academic Honor Code

- 03.250 Policy on Faculty Workload

- 03.320 Serving as an Investigator on Sponsored Programs

- 03.350 Institutional Base Salary for Sponsored Programs Policy

- 05.001 Discretionary Trust Funds Spending Policy

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Policy (Continued)

Completed Reviews and Revisions (Continued)

<u>Policies New/Revised April 2024-March 2025 (Continued)</u>

- 05.002 Investment Policy for Institutional Trust Funds
- 05.102 Establishment of University Banner Fund
- 05.107 Business-Related Entertainment Expenditures
- 05.119 Communications Allowance Program
- 05.151 Function of Purchasing Services and General Purchasing Policies
- 05.160 Purchasing Card Program
- 05.164 University Equipment (Fixed Assets)
- 05.190 UNCW Travel Card Program
- 05.191 University Business Travel

Policy (Continued)

Completed Reviews and Revisions (Continued)

Policies New/Revised April 2024-March 2025 (Continued)

- 05.192 Conferences, Training Sessions, Retreats, & Informal Guests of the Chancellor

- 05.326 University Hospitality Properties

- 05.410 UNCW Micro Mobility Policy

- 07.100.05 Employees' Incidental Personal Use of Electronic Resources

- 08.112 SHRA & EHRA Faculty & Non-Faculty Teleworking Policy & Procedures

- 08.350 Non-Salary and Deferred Compensation Policy

- 08.430 Employee Emergency Loan Program

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Ethics

Reported Issues

❖ No issues reported within the Compliance Program.

Other

Trainings

❖ Multiple conversations/presentations on UNC Equality Policy, Institutional Neutrality and the Prohibition on Compelled Speech.

❖ Free Expression (student staff)

Thank You!